

### TELEFAX COVER SHEET

FEB 2 2 2035

# MOSER, PATTERSON & SHERIDAN, LLP

ATTORNEYS AT LAW 595 SHREWSBURY AVENUE FIRST FLOOR SHREWSBURY, NJ 07702 **TELEPHONE (732) 530-9404** TELEFAX (732) 530-9808

**************
THIS TELEFAX MESSAGE IS ADDRESSED TO THE PERSON OR COMPANY LISTED BELOW IF IT WAS SENT OR RECEIVED INCORRECTLY, OR YOU ARE NOT THE INTENDED RECIPIENT, PLEASE TAKE NOTICE THAT THIS MESSAGE MAY CONTAIN PRIVILEGED OR CONFIDENTIAL MATERIAL, AND YOUR DUE REGARD FOR THIS INFORMATION IS NECESSARY. YOU MAY ARRANGE TO RETURN THIS MATERIAL BY CALLING THE FIRM LISTED ABOVE AT (732) 530-9404  **********************************
THIS MESSAGE HAS 14 PAGES INCLUDING THIS SHEET
TO: Commissioner of Patents
FAX NO.: 703-872-9306
FROM: Kin-Wah Tong, Esq./DR.
DATE: February 22, 2005
MATTER: Serial No. 09/967,228 Filed: 09/28/2001
DOCKET NO.:
APPLICANT: Franco, et al.
The following has been received in the U.S. Patent and Trademark Office on the date of this facsimile:
Petition for Extension of Time Disclosure Statement & PTO-1449 Priority Document Drawings ( sheets) informal X Response Under 37 C.F.R. § 1.111 Drawings Drawings Statement & March 12 (2 copies) Pee Transmittal (2 copies)  Deposit Account Transaction X Facsimile Transmission Certificate dated February 22, 2005
CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8
I hereby certify that this correspondence is being transmitted by facsimile to the Commissioner for Patents, Alexandria, VA 22313-1450 on February 22, 2005, Facsimile No
Tara Carter  Name of person signing this certificate  Signature and date

342789-1

PTO/SB/21 (09-04)
Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1985, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL		Application Number		09/967,22	8		
		Filing Date		09/28/2001			
PORM		First Named Invento	or	Franco, et	t al.		
		Art Unit		2655			
(lo be used for ell correspondence after	initial filing)	Examiner Name		MCFADD	EN, SUSAN IRIS		
Total Number of Pages In This Submiss		Attorney Docket Nu	mber	SRI/4580-	2		
	ENCLO	SURES (check all that	apply)				
Fee Transmittal Form	Drawing(s)			After Allowance Communication to TC			
Fee Attached	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Peddon			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Convert to a Provisional Application			Proprietary information			
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address			Status L	etter		
Extension of Time Request .	Terminal Disclaimer			Other E	inclosure(s) dentify below):		
Express Abandonment Request	Request f	or Refund ber of CD(s)		Facsimile Tr	ransmission		
Information Disclosure Statement	I —	idscape Table on CD					
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under 37 CFR1.52 or 1.53	Remarks kindly charge duplicate co	It is believed no fi e that fee to deposit ac py of this letter is enck	count nu	. However, imber 20-076	in the event a fee is due, 32. To facilitate that charge		
SIGI	NATURE OF	APPLICANT, ATTOR	NEY, O	R AGENT			
Flrm		on & Sheridan, LLP					
Signature	2	2/1/2					
Printed Name	Kin-Wah Tong,	Esq.					
Date	February 22, 20	ND I	Reg. No.	\$9,400			
	CERTIFICA	TE OF TRANSMISSI	ON/MAI	LING			
I hereby certify that this corresponden Service with sufficient postage as fir Alexandria, VA 22313-1450 on the date	stdass⊾ma(ll						
Signature	ust	11					
Typed or printed name Tara Carr	ter			Date	February 22, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTQ-0189 and select option 2.

PTO/SB/21 (09-04)
Approved for use through 07/31/2008, DMB 0851-0031
U.S. Pisterit and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a velid OMB control number.

And the second s						_	
TRANSMITTA	Application Number	er	09/967,228				
TRANSMITTAL		Filing Date		09/28/2001			
FORM		First Named Inven	itor	Franco, e	ot al.		
		Art Unit		2655	***		
(to be used for all correspondence after initial filing)		Examiner Name		MCFADDEN, SUSAN IRIS			
Total Number of Pages in This Submiss	nois	Altomey Docket N	umber	SRI/4580	)-2	フ	
	ENCLO	SURES (check all the	et apply)	<del>!                                    </del>		_	
Fee Transmittal Form	Drawing(s			After A	llowance Communication to T	$\Box$	
Fee Attached	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final	Petition to Convert to a Provisional Application			Proprietary Information			
Affidavits/declaration(s)	Power of a Change of	Attorney, Revocation of Correspondence Add	iress	Status	Letter		
Extension of Time Request	Terminal I	Disclaimer	= \(\alpha\)		Enclosure(s) identify below):		
Express Abandonment Request	Request fo			Facsimile T	Transmission		
☐ Information Disclosure Statement	_	per of CD(s) dscape Table on CD				1	
Certified Copy of Priority Document(s)	Remarks kindly charge	It is believed no that fee to deposit a	ecount m	. However, imber 20-07	In the event a fee is due, 82. To facilitate that charge		
Reply to Missing Parts/ Incomplete Application	duplicate cop	by of this letter is enc	losed		•	,-	
Reply to Missing Parts							
under 37 CFR1.52 or 1.53							
SIGI	NATURE OF A	APPLICANT, ATTOR	RNEY, O	R AGENT	•		
Flrm .	Moser, Patterson	n & Sheridan, LLP					
Signature	2	2/1/2	7				
Printed Name	Kin-Wah Tong, E	≣sq.					
Date	February 22, 2005 Reg. No.			39,400			
	CERTIFICAT	TE OF TRANSMISS	ION/MAI	LING		=	
I hereby certify that this corresponden Service with sufficient postage as fin Alexandria, VA 22313-1450 on the date	st class_ <del>ma</del> ll in	timile transmitted to the an envelope addres	e USPTO sed to: C	or deposited ommissioner	with the United States Pos for Patents, P.O. Box 145	 (0,	
Signature	ust	· .		•••			
Typed or printed name Tafa Cart	er			Date	February 22, 2005		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

If you need assistance in completing the form, call 1-500-PTO-9189 and select option 2.

09/967,228

RECEIVED CENTRAL FAX CENTER

FEB 2 2 2015

CERTIFICATE OF TRANSMISSION under 37 CFR 1.8

I herby certify that this correspondence is being facsimile transmitted to the United

States Patent and Trademark-Office ; (703) -872-9306

Fara Carter

on: February 22, 2005.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### **PATENT APPLICATION**

Applicants: FRANCO, et al

Case:

SRI/4580-2

Serial No.:

09/967,228

Examiner:

McFadden, Susan

Filed:

September 28, 2001

Group Art Unit: 2655

Title:

METHOD AND APPARATUS FOR PERFORMING

RELATIONAL SPEECH RECOGNITION

COMMISSIONER FOR PATENTS

Mail Stop: Amendment

P.O. Box 1450

Alexandria, VA 22313-1450

SIR:

## RESPONSE UNDER 37 C.F.R. § 1.111

This Response addresses the Office Action dated November 19, 2004 (Paper No. 10).